

# Working with Gene Lists and Over-representation Analysis

MBV-INF4410

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**This talk is a remix of two talks presented in 2009 at the Canadian Bioinformatics Workshops by Gary Bader and Quaid Morris. Many thanks to Gary, Quaid and the CBW for making this material available.**

<http://baderlab.org>

<http://morrislab.med.utoronto.ca/>

<http://www.bioinformatics.ca/workshops/2009/course-content>

(see Interpreting Gene Lists from -omics Studies.

Module 1: Introduction to gene lists (Chair: Gary Bader) and

Module 2: Finding over-represented gene functions in gene lists (Chair: Quaid Morris)

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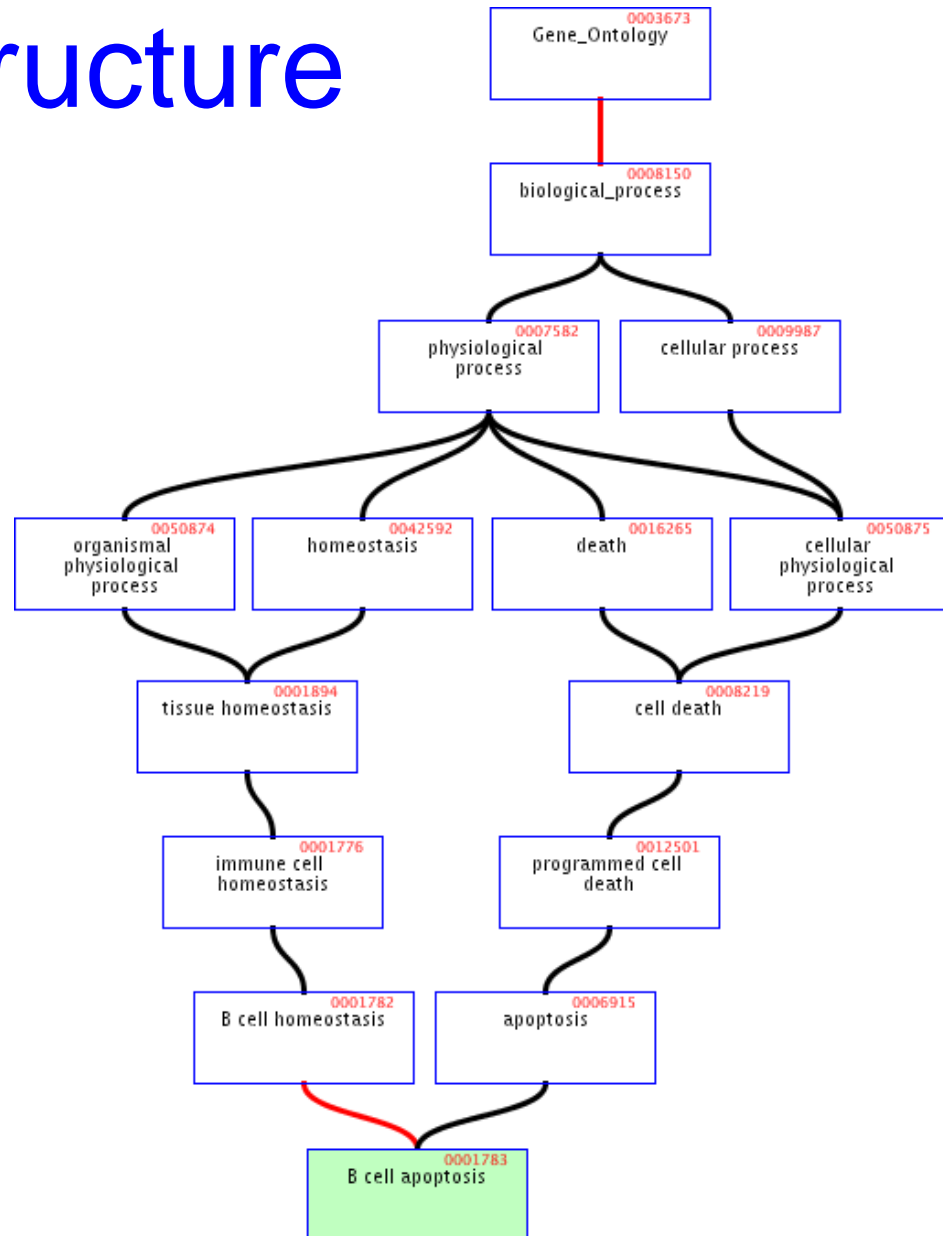
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# What is the Gene Ontology (GO)?

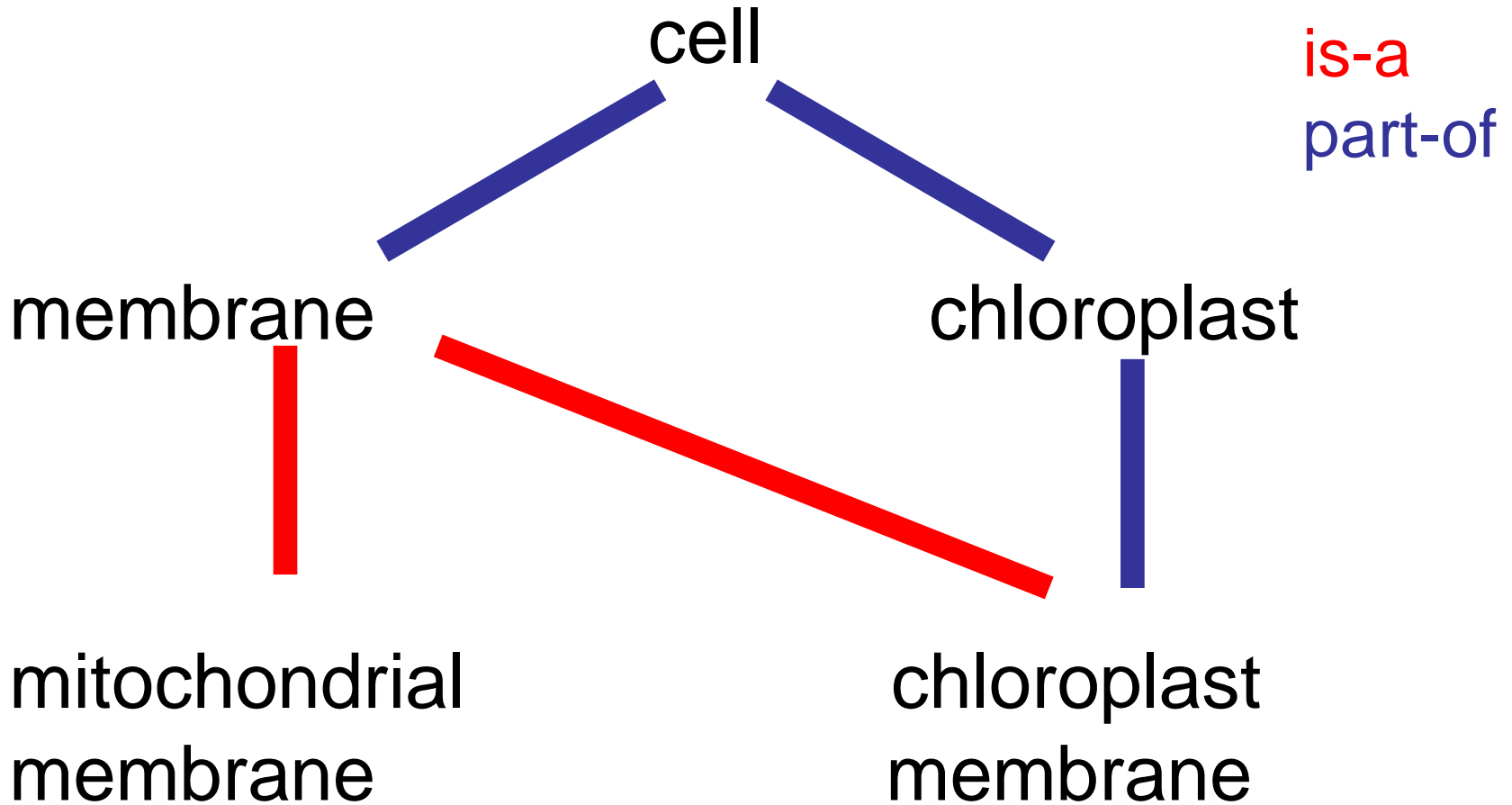
- Set of biological phrases (terms) which are applied to genes:
  - protein kinase
  - apoptosis
  - membrane
- Ontology: A formal system for describing knowledge

# GO Structure

- Terms are related within a hierarchy
  - is-a
  - part-of
- Describes multiple levels of detail of gene function
- Terms can have more than one parent or child



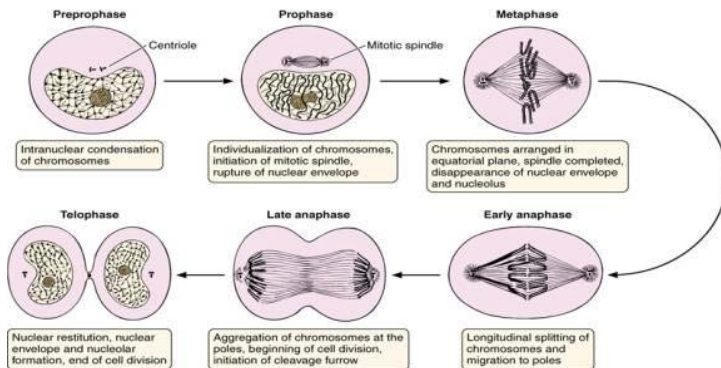
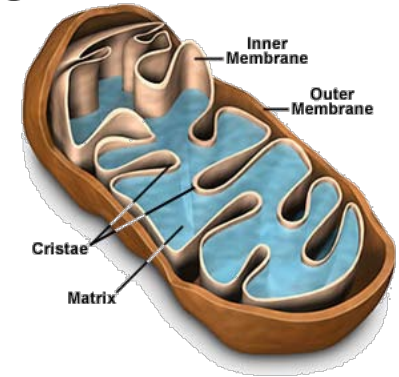
# GO Structure



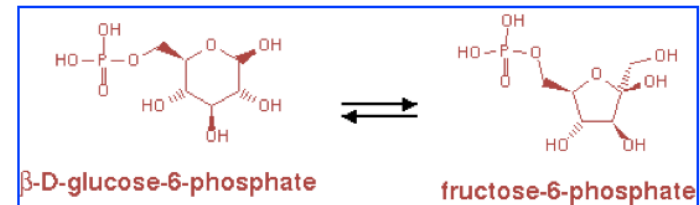
Species independent. Some lower-level terms are specific to a group, but higher level terms are not

# What GO Covers?

- GO terms divided into three aspects:
  - cellular component
  - molecular function
  - biological process



Cell division



glucose-6-phosphate  
isomerase activity

# Terms

- Where do GO terms come from?
  - GO terms are added by editors at EBI and gene annotation database groups
  - Terms added by request
  - Experts help with major development
  - 27734 terms, 98.9% with definitions.
    - 16731 biological\_process
    - 2385 cellular\_component
    - 8618 molecular\_function
    - As of July 6, 2009



# Annotations

- Genes are linked, or associated, with GO terms by trained curators at genome databases
  - Known as ‘gene associations’ or GO annotations
  - Multiple annotations per gene
- Some GO annotations created automatically

# Annotation Sources

- Manual annotation
  - Created by scientific curators
    - High quality
    - Small number (time-consuming to create)
- Electronic annotation
  - Annotation derived without human validation
    - Computational predictions (accuracy varies)
    - Lower 'quality' than manual codes
- Key point: be aware of annotation origin

For your information

# Evidence Types

- **ISS:** Inferred from Sequence/Structural Similarity
- **IDA:** Inferred from Direct Assay
- **IPI:** Inferred from Physical Interaction
- **IMP:** Inferred from Mutant Phenotype
- **IGI:** Inferred from Genetic Interaction
- **IEP:** Inferred from Expression Pattern
- **TAS:** Traceable Author Statement
- **NAS:** Non-traceable Author Statement
- **IC:** Inferred by Curator
- **ND:** No Data available



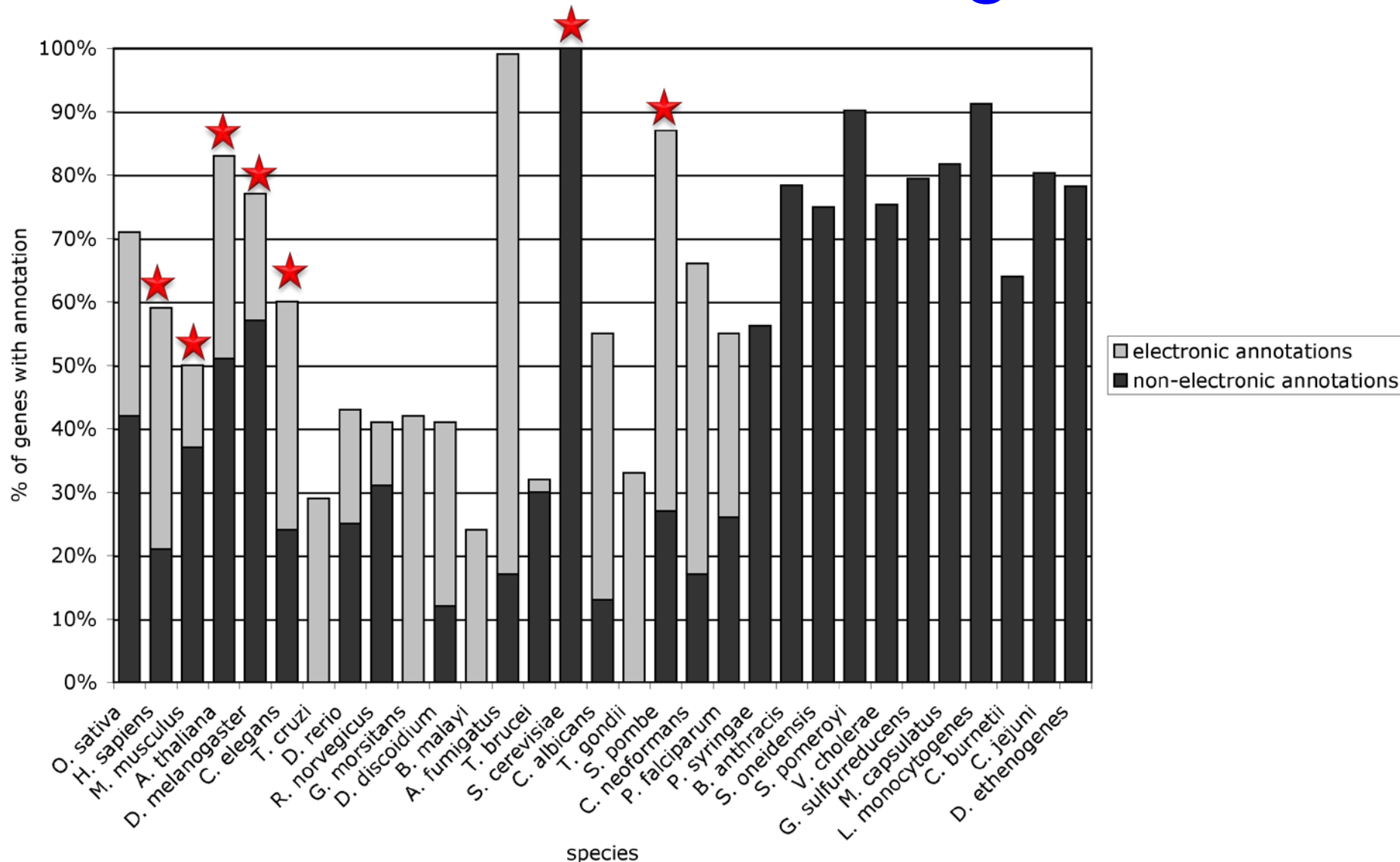
- **IEA:** Inferred from electronic annotation



# Species Coverage

- All major eukaryotic model organism species
- Human via GOA group at UniProt
- Several bacterial and parasite species through TIGR and GeneDB at Sanger
- New species annotations in development

# Variable Coverage



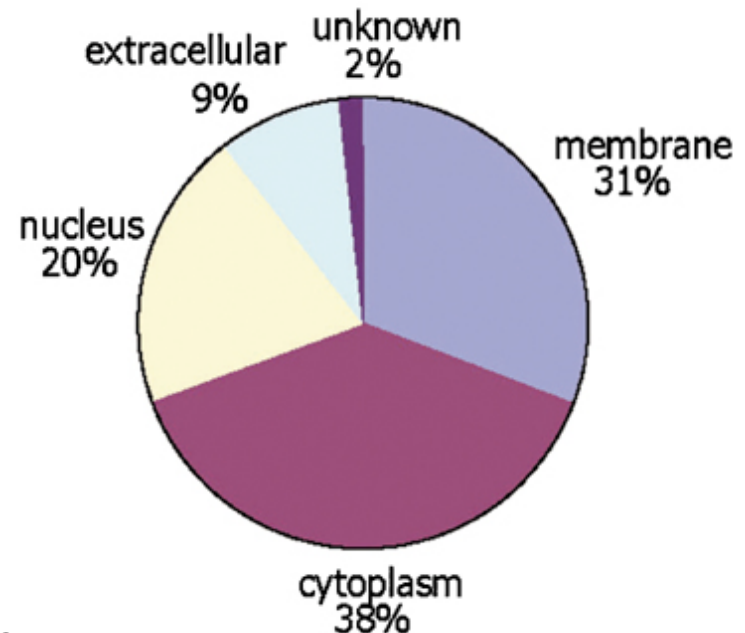
For your information

# Contributing Databases

- [Berkeley \*Drosophila\* Genome Project \(BDGP\)](#)
- [dictyBase](#) (*Dictyostelium discoideum*)
- [FlyBase](#) (*Drosophila melanogaster*)
- [GeneDB](#) (*Schizosaccharomyces pombe*, *Plasmodium falciparum*, *Leishmania major* and *Trypanosoma brucei*)
- [UniProt Knowledgebase](#) (Swiss-Prot/TrEMBL/PIR-PSD) and [InterPro](#) databases
- [Gramene](#) (grains, including rice, *Oryza*)
- [Mouse Genome Database \(MGD\)](#) and [Gene Expression Database \(GXD\)](#) (*Mus musculus*)
- Rat Genome Database (RGD) (*Rattus norvegicus*)
- [Reactome](#)
- [Saccharomyces Genome Database \(SGD\)](#) (*Saccharomyces cerevisiae*)
- [The Arabidopsis Information Resource \(TAIR\)](#) (*Arabidopsis thaliana*)
- [The Institute for Genomic Research \(TIGR\)](#): databases on several bacterial species
- [WormBase](#) (*Caenorhabditis elegans*)
- [Zebrafish Information Network \(ZFIN\)](#): (*Danio rerio*)

# GO Slim Sets

- GO has too many terms for some uses
  - Summaries (e.g. Pie charts)
- GO Slim is an official reduced set of GO terms
  - Generic, plant, yeast



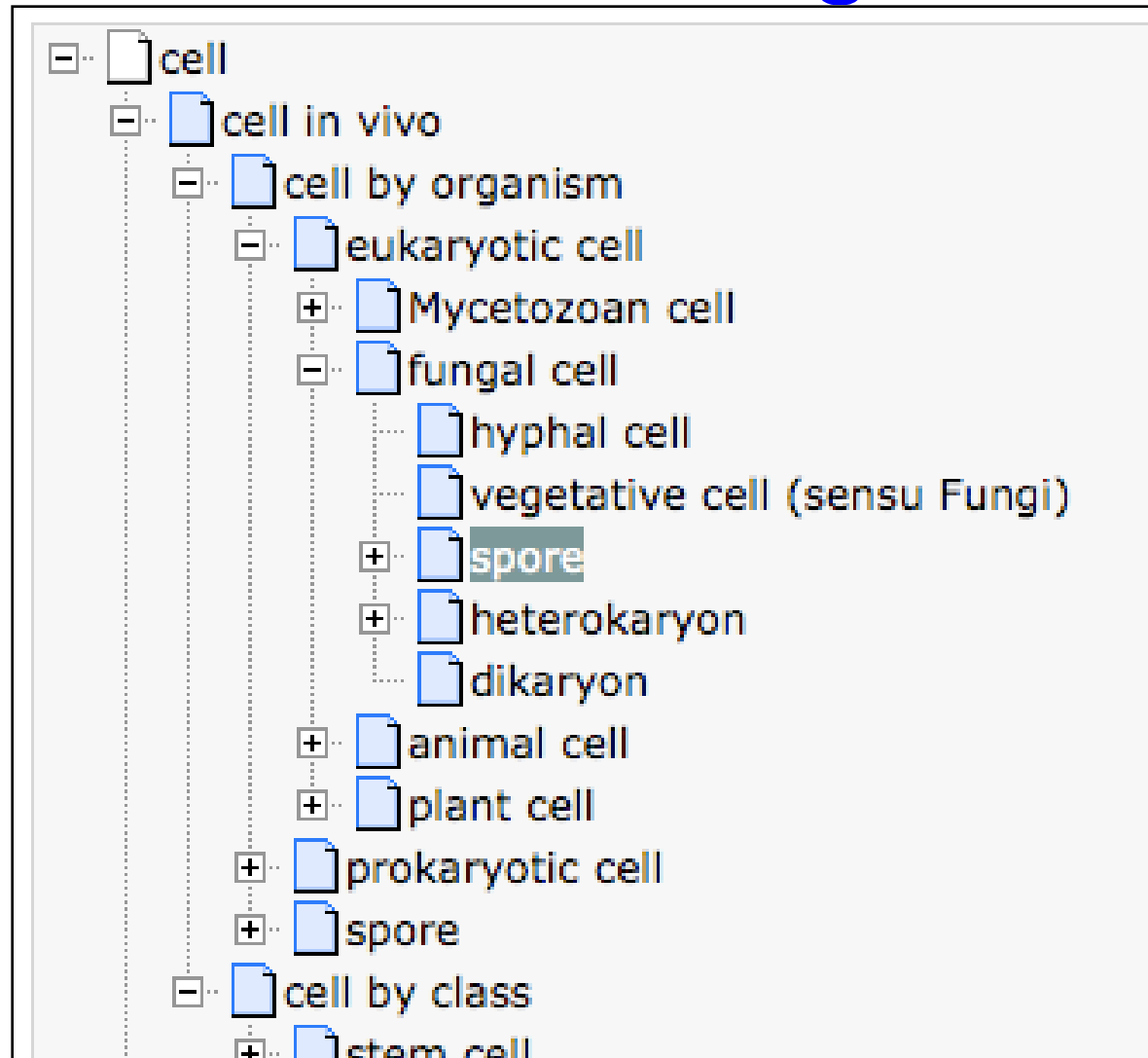
Crockett DK et al. Lab Invest. 2005  
Nov;85(11):1405-15

# GO Software Tools

- GO resources are freely available to anyone without restriction
  - Includes the ontologies, gene associations and tools developed by GO
- Other groups have used GO to create tools for many purposes
  - <http://www.geneontology.org/GO.tools>



# Other Ontologies



# Overview of over representation analysis

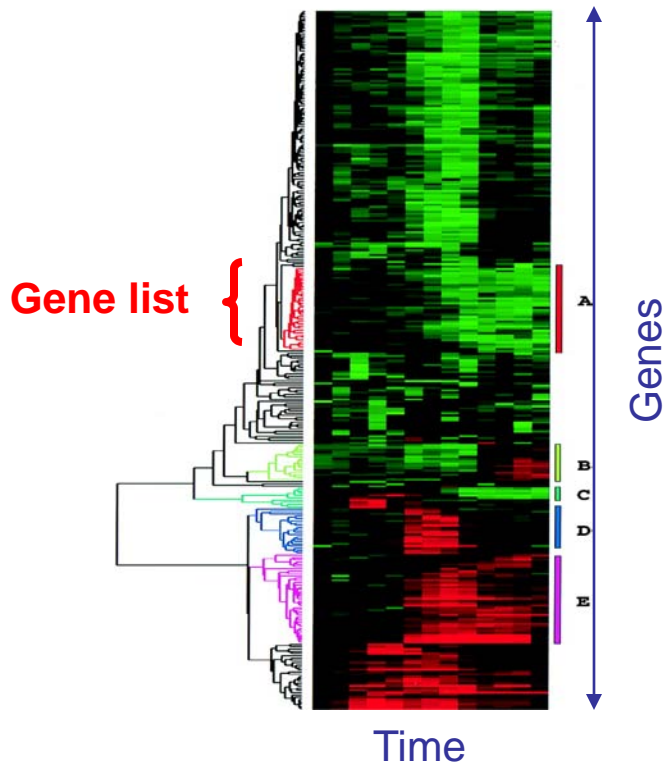
- Theory:
  - Review: What is a P-value? The good ole' T-test.
  - Fisher's Exact Test, the bread and butter of ORA
  - Correcting for multiple testing
  - Enrichment analysis with gene rankings

# Over-representation analysis (ORA) in a nutshell

- Given:
  1. Gene list: e.g. RRP6, MRD1, RRP7, RRP43, RRP42 (yeast), or Gene Scores: RRP6 (4.0), MRD1 (3.0) etc
  2. Gene annotations: e.g. Gene ontology, transcription factor binding sites in promoter
- ORA Question: *Are any of the gene annotations surprisingly enriched in the gene list?*
- Details:
  - How to assess “surprisingly” (statistics)
  - How to correct for repeating the tests

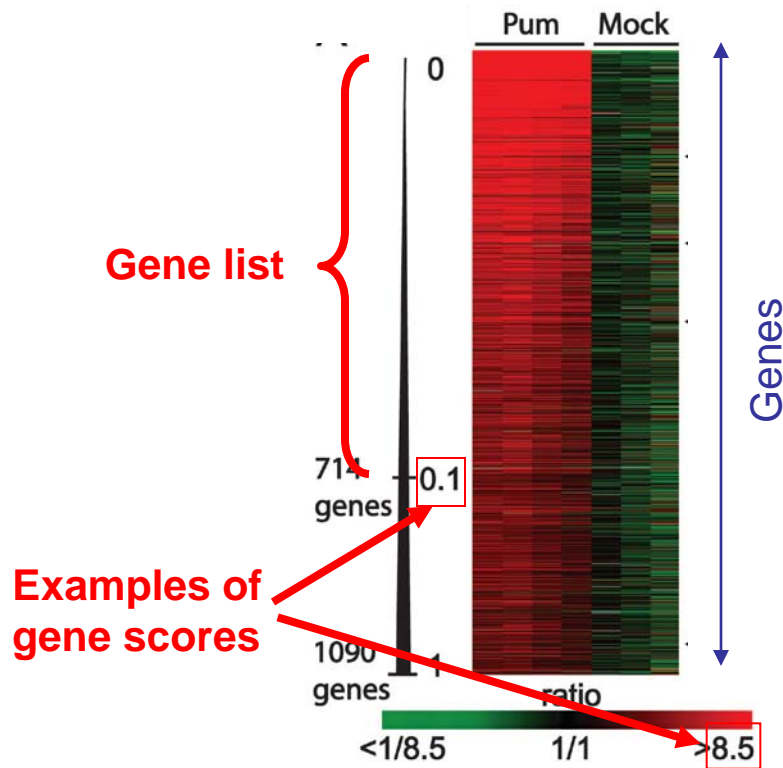
# Examples of sources of gene lists

## Clustering



Source Eisen et al. (1998) PNAS 95

## Thresholding a gene "score"



Source: Gerber et al. (2006) PNAS103

# Overview

- Theory:
  - Review: What is a P-value?
  - Fisher's Exact Test, the bread and butter of ORA
  - Correcting for multiple testing
  - Enrichment analysis with gene rankings

# What is a P-value?

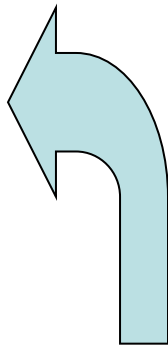
- The P-value is (a bound) on the probability that the “null hypothesis” is true,
- Calculated by calculating **statistics** using the data and testing the probability of observing those statistics, or ones more extreme, given a sample of the same size distributed according to the null hypothesis,
- Intuitively: *P-value is the probability of a false positive result* (aka “Type I error”)

# Fisher's exact test: the bread and butter of ORA

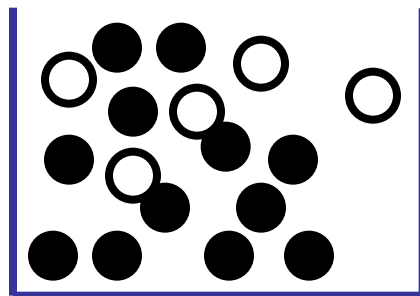
a.k.a., the hypergeometric test

## Gene list

- RRP6
- MRD1
- RRP7
- RRP43
- RRP42



**Formal question:** *What is the probability of finding 4 or more “white” genes in a random sample of 5 genes?*

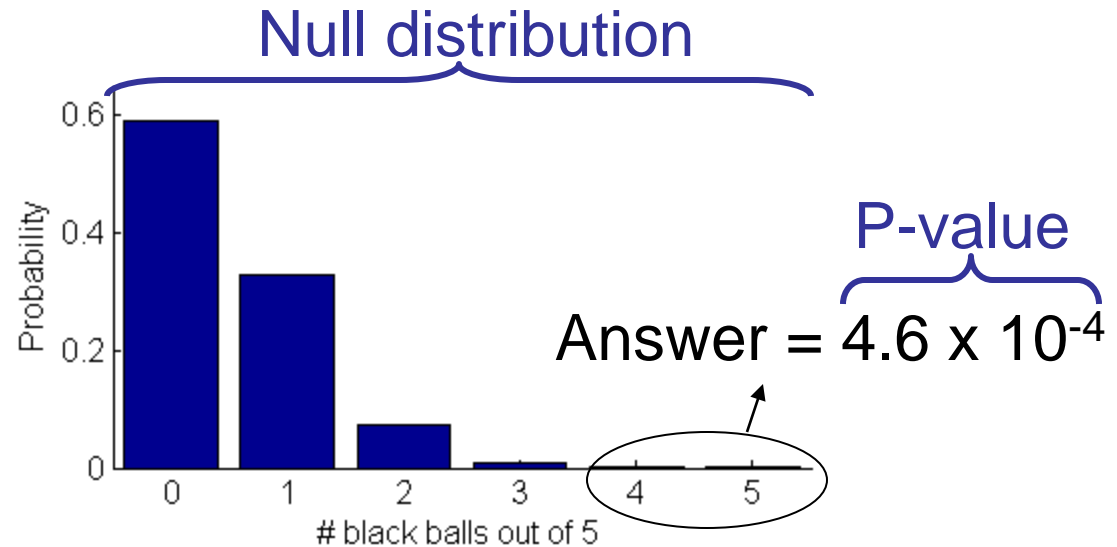
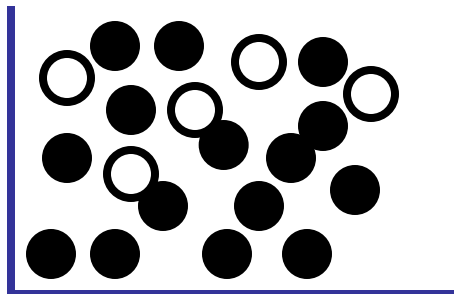
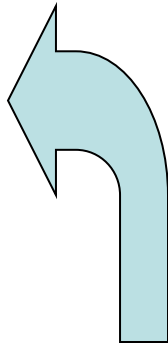


Background population:  
500 white genes,  
4500 black genes

# Fisher's exact test cont.

## Gene list

- RRP6
- MRD1
- RRP7
- RRP43
- RRP42



Background population:  
500 white genes,  
4500 black genes



# Fisher's exact test: a.k.a., the hypergeometric test

In R, use the `dhyper` function to calculate the probability of seeing  $x$  white balls drawn from an urn of white balls ( $m$ ) and black balls ( $n$ ) when you randomly draw  $k$  balls.

```
dhyper(x, m, n, k)
```

You can calculate the probability of seeing  $x$  (or more – up to  $k$ ) white balls using:

```
sum(dhyper(x:k, m, n, k))
```

# Important details

- To test for *under-enrichment* of “white”, test for *over-enrichment* of “black”.
- Need to choose “background population” appropriately, e.g., if only portion of the total gene complement is queried (or available for annotation), only use that population as background.
- To test for enrichment of more than one independent types of annotation, apply Fisher’s exact test separately for each type. \*\*\*More on this later\*\*\*

# What have we learned?

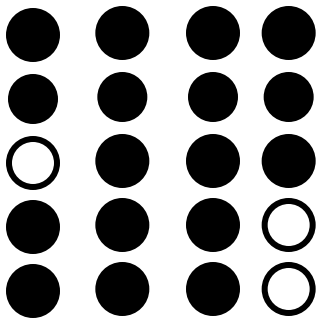
- Fisher's exact test is used for ORA of gene lists for a single type of annotation,
- P-value for Fisher's exact test
  - is “the probability that a random draw of the same size as the gene list from the background population would produce the observed number of annotations in the gene list or more.”,
  - and depends on size of both gene list and background population as well and # of “white” genes in gene list and background.

# Correcting for multiple testing: overview

- Why do we need to correct? Winning the P-value lottery.
- Controlling the Family-wise Error Rate (FWER) with the Bonferroni-correction
- Controlling the false-discovery rate (FDR): Benjamini-Hochberg, Storey-Tibshirani, Q-values and all that

# How to win the P-value lottery, part 1

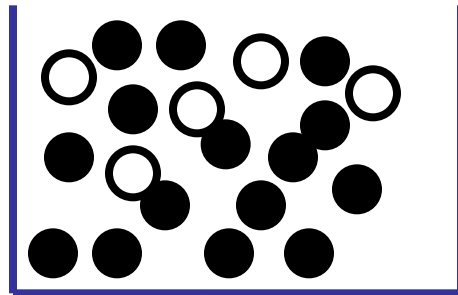
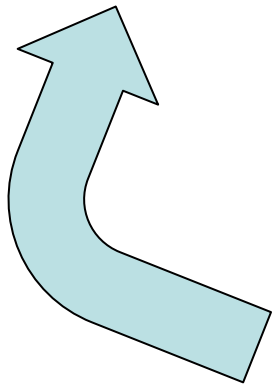
Random draws



... 7,834 draws later ...



*Expect a random draw  
with observed  
enrichment once every  
 $1 / P\text{-value}$  draws*

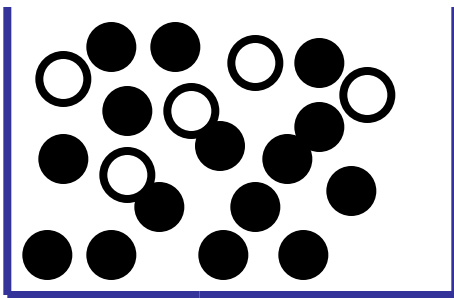
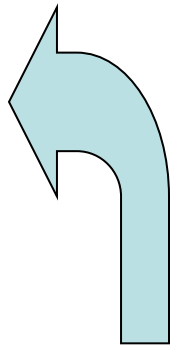


Background population:  
500 white genes,  
4500 black genes

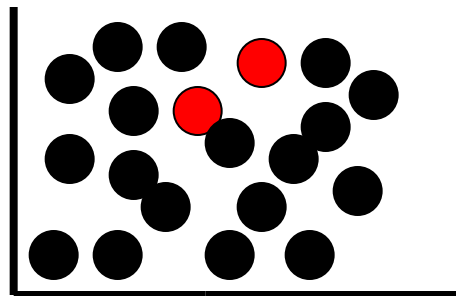
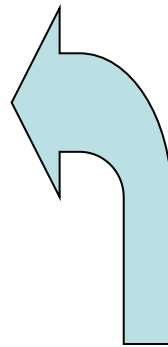
# How to win the P-value lottery, part 2

Keep the gene list the same, evaluate different annotations

- RRP6
- MRD1
- RRP7
- RRP43
- RRP42

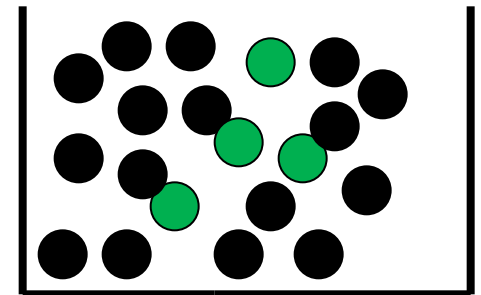
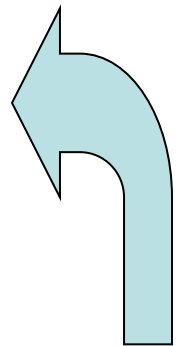


- RRP6
- MRD1
- RRP7
- RRP43
- RRP42



...

- RRP6
- MRD1
- RRP7
- RRP43
- RRP42



Bingo!...you win.

# ORA tests need correction

*From the Gene Ontology website:*

Current ontology statistics: **25206** terms

- **14825** biological process
- **2101** cellular component
- **8280** molecular function

# Two types of multiple test corrections

- Controlling the **Family-Wise Error Rate (FWER)** controls the probability that any test is a false positive
- Controlling the **False Discovery Rate (FDR)** controls the proportion of positive tests (i.e. rejections of the null hypothesis) that are false positives



# Controlling Family-Wise Error Rate using the Bonferroni correction

If  $M$  = # of annotations tested:

Corrected P-value =  $M \times$  original P-value

Corrected P-value is greater than or equal to the probability that any single one of the observed enrichments could be due to random draws. The jargon for this correction is “**controlling for the *Family-Wise Error Rate (FWER)***”

# Bonferroni correction caveats

- Bonferroni correction is very stringent and can “wash away” real enrichments.
- Often users are willing to accept a less stringent condition, the “false discovery rate” (FDR), which leads to a gentler correction when there are real enrichments.

# False discovery rate (FDR)

- FDR is *the expected **proportion** of the observed enrichments that are due to random chance.*
- Compare to Bonferroni correction which is *the probability that **any one** of the observed enrichments is due to random chance.*

# Benjamini-Hochberg example

Rank	Category	P-value	Adjusted P-value	FDR / Q-value
1	<i>Transcriptional regulation</i>	0.001		
2	<i>Transcription factor</i>	0.01		
3	<i>Initiation of transcription</i>	0.02		
...	...	...		
50	<i>Nuclear localization</i>	0.04		
51	<i>RNAi activity</i>	0.055		
52	<i>Cytoplasmic localization</i>	0.06		
53	<i>Translation</i>	0.07		

Sort P-values of all tests in decreasing order

# Benjamini-Hochberg example

Rank	Category	P-value	Adjusted P-value	FDR / Q-value
1	<i>Transcriptional regulation</i>	0.001	$0.001 \times 53/1 = 0.053$	
2	<i>Transcription factor</i>	0.01	$0.01 \times 53/2 = 0.27$	
3	<i>Initiation of transcription</i>	0.02	$0.02 \times 53/3 = 0.35$	
...	...	...	...	
50	<i>Nuclear localization</i>	0.04	$0.04 \times 53/50 = 0.042$	
51	<i>RNAi activity</i>	0.055	$0.05 \times 53/51 = 0.052$	
52	<i>Cytoplasmic localization</i>	0.06	$0.06 \times 53/52 = 0.061$	
53	<i>Translation</i>	0.07	$0.07 \times 53/53 = 0.07$	

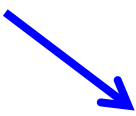
**Adjusted P-value = P-value X [# of tests] / Rank**

# Benjamini-Hochberg example

Rank	Category	P-value	Adjusted P-value	FDR / Q-value
1	<i>Transcriptional regulation</i>	0.001	$0.001 \times 53/1 = 0.053$	0.042
2	<i>Transcription factor</i>	0.01	$0.01 \times 53/2 = 0.27$	0.042
3	<i>Initiation of transcription</i>	0.02	$0.02 \times 53/3 = 0.35$	0.042
...	...	...	...	...
50	<i>Nuclear localization</i>	0.04	$0.04 \times 53/50 = 0.042$	0.042
51	<i>RNAi activity</i>	0.055	$0.05 \times 53/51 = 0.052$	0.052
52	<i>Cytoplasmic localization</i>	0.06	$0.06 \times 53/52 = 0.061$	0.061
53	<i>Translation</i>	0.07	$0.07 \times 53/53 = 0.07$	0.07

Q-value = minimum adjusted P-value at given rank or below

# Benjamini-Hochberg example

Rank	Category	P-value	Adjusted P-value	FDR / Q-value	FDR < 0.05?
1	<i>Transcriptional regulation</i>	0.001	$0.001 \times 53/1 = 0.053$	0.042	Y
2	<i>Transcription factor</i>	0.01	$0.01 \times 53/2 = 0.27$	0.042	Y
3	<i>Initiation of transcription</i>	0.02	$0.02 \times 53/3 = 0.35$	0.042	Y
<p><b>P-value threshold for FDR &lt; 0.05</b></p> 					
...	...	...	...	...	...
50	<i>Nuclear localization</i>	<b>0.04</b>	$0.04 \times 53/50 = 0.042$	0.042	Y
51	<i>RNAi activity</i>	0.055	$0.05 \times 53/51 = 0.052$	0.052	N
52	<i>Cytoplasmic localization</i>	0.06	$0.06 \times 53/52 = 0.061$	0.061	N
53	<i>Translation</i>	0.07	$0.07 \times 53/53 = 0.07$	0.07	N

**P-value threshold is highest ranking P-value for which corresponding Q-value is below desired significance threshold**

# Reducing multiple test correction stringency

- The correction to the P-value threshold  $\alpha$  depends on the # of tests that you do, so, no matter what, the more tests you do, the more sensitive the test needs to be
- Can control the stringency by reducing the number of tests: e.g. use GO slim; restrict testing to the appropriate GO annotations; or select only larger GO categories.



# Reducing multiple test correction stringency

- The correction to the P-value threshold  $\alpha$  depends on the # of tests that you do, so, no matter what, the more tests you do, the more sensitive the test needs to be
- Can control the stringency by reducing the number of tests: e.g. use GO slim or restrict testing to the appropriate GO annotations.

# What have we learned

- When testing multiple annotations, need to correct the P-values (or, equivalently,  $\alpha$ ) to avoid winning the P-value lottery.
- There are two types of corrections:
  - **Bonferroni** controls the probability any one test is due to random chance (aka FWER) and is very stringent
  - **B-H** controls the FDR, i.e., expected proportion of “hits” that are due to random chance
- Can control stringency by carefully choosing which annotation categories to test.